



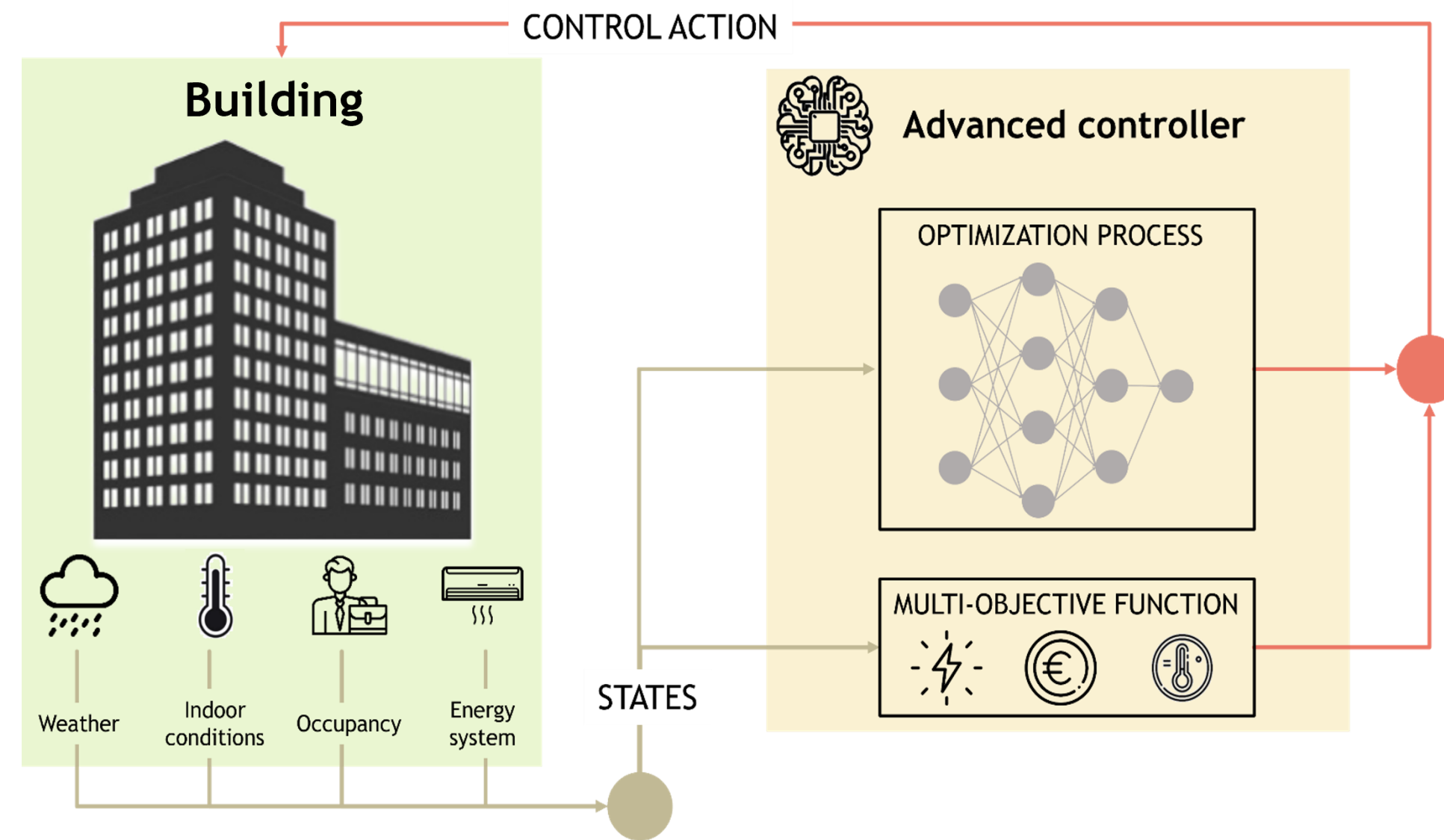
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"G.Ferraris"

Transfer learning to enhance the scalability of artificial intelligence-based control strategies in buildings

Smart buildings require advanced control solutions

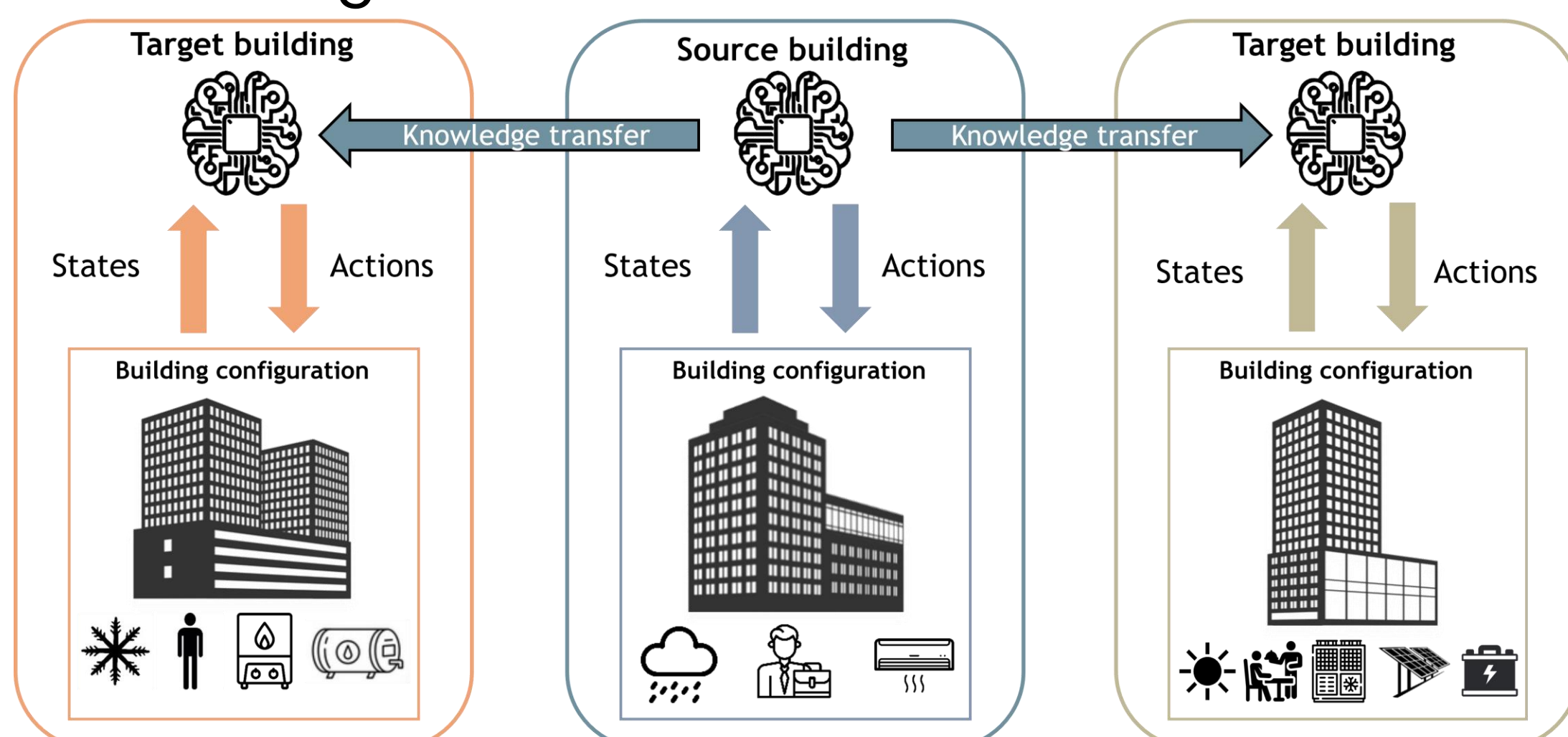
DRL controllers emerged as promising candidates to **exploit flexibility sources** in buildings as PV and storage systems. However, the **direct implementation** of DRL controllers is **not feasible** since they should be pre-trained offline on detailed/data-driven **building surrogate models** to ensure good performance and safe operation. However, the development of detailed building models needs a **considerable modeling effort and domain expertise**.



Transfer learning for DRL controllers

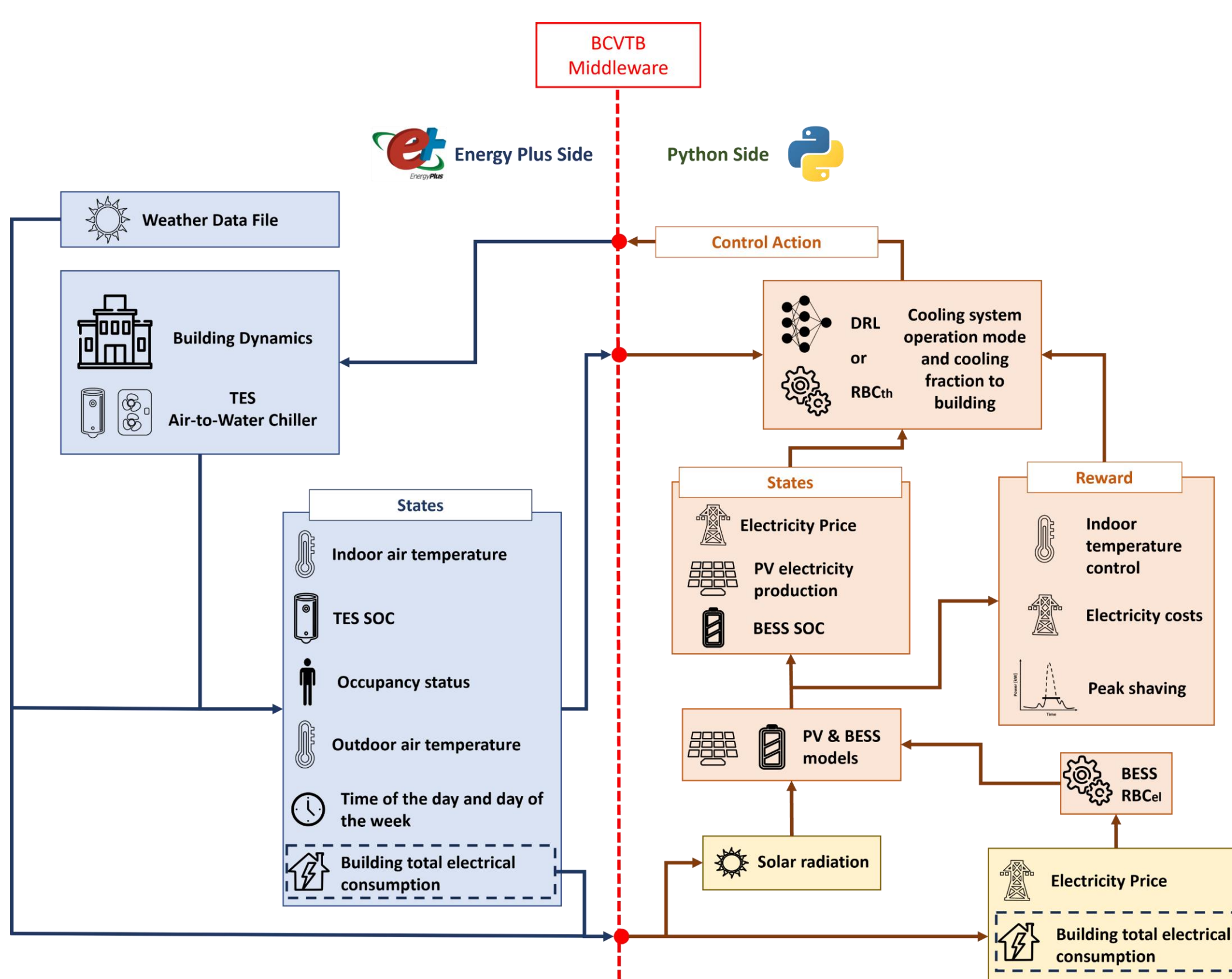
Transfer learning (TL) for building control applications have multiple advantages:

- ✓ **Speeding-up the training process for DRL controllers** to achieve an optimal control policy
- ✓ **Avoiding the development of surrogate models** to pre-train DRL controllers
- ✓ **Allowing the direct deployment** of DRL in real buildings



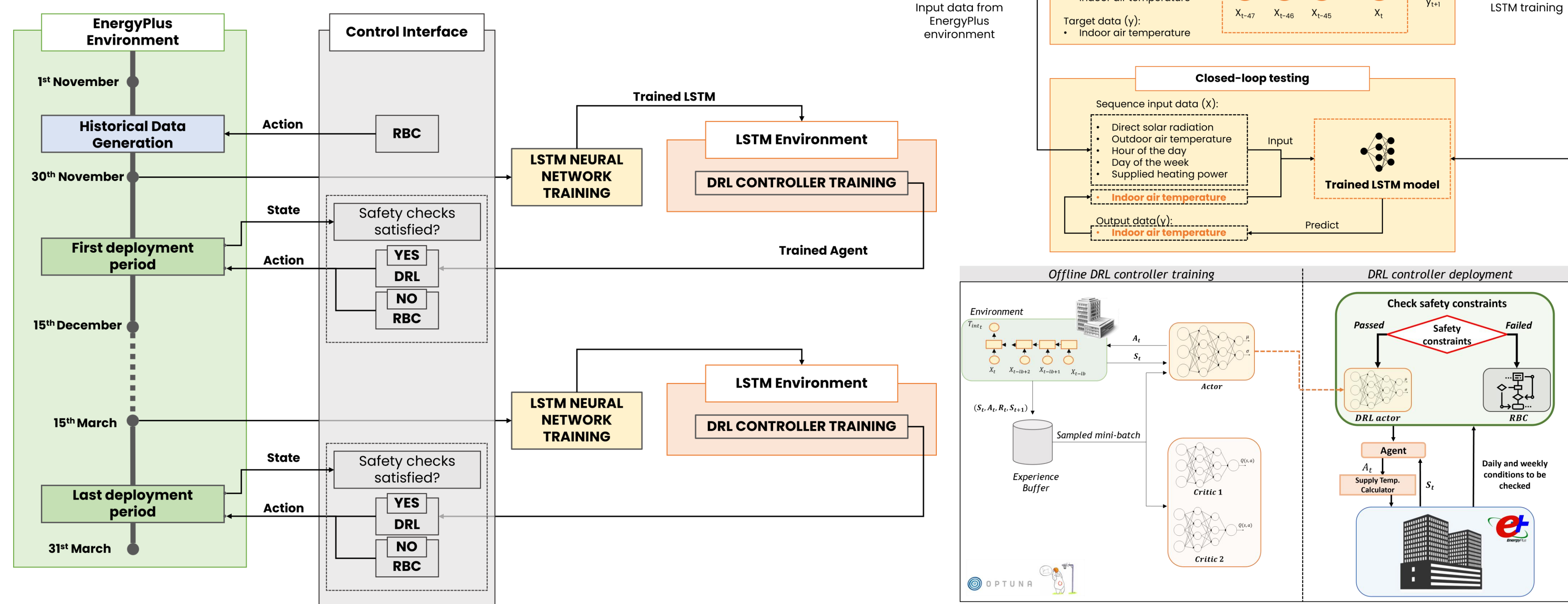
Simulation environment

The TL was implemented in an integrated simulation environment combining **EnergyPlus** and **Python**.



Effective pre-training of DRL controllers by means of LSTMs

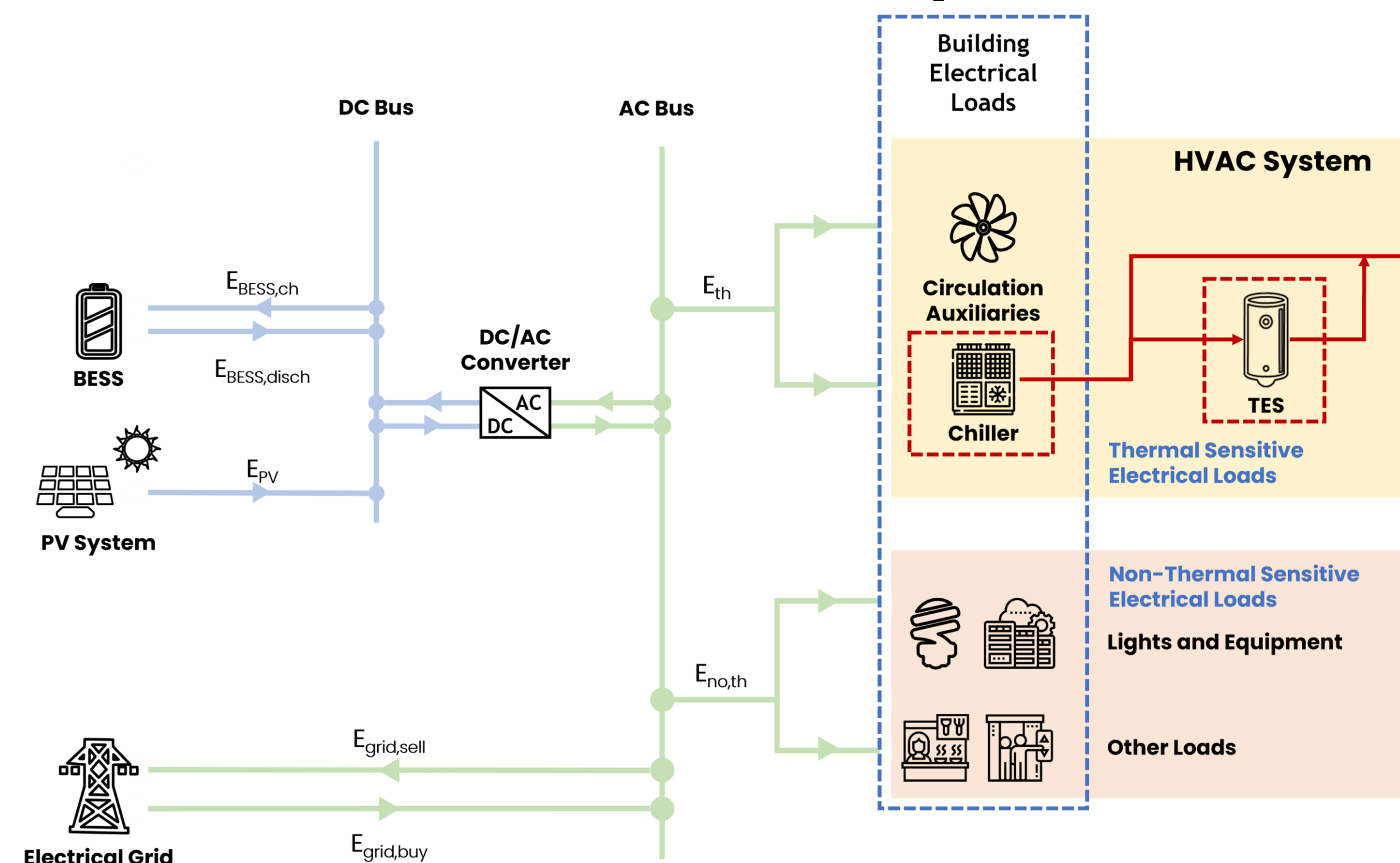
An **automatic and recursive procedure** including safety constraints was designed for a heating system consisting of a boiler and radiators **to effectively pre-train a DRL controller** by means of a **LSTM model** emulating building dynamics. The DRL agent managed the supply water temperature setpoint to **minimize energy consumption** and **enhancing indoor temperature control**. From this applications emerged that if adequately trained, LSTM models could be employed to train DRL controller, **outperforming RBC**.



Online Transfer Learning applications

An **online TL strategy** is tested in **homogeneous and heterogeneous** (different energy systems) settings to **transfer a DRL agent** between buildings having **different weather conditions, price and occupancy schedules, building thermophysical properties**. The DRL controller selected the **operation mode** a cooling system consisting of chiller and TES and the **fraction of cooling energy** delivered to the building to **minimize electricity cost while enhancing indoor temperature conditions**.

Case study

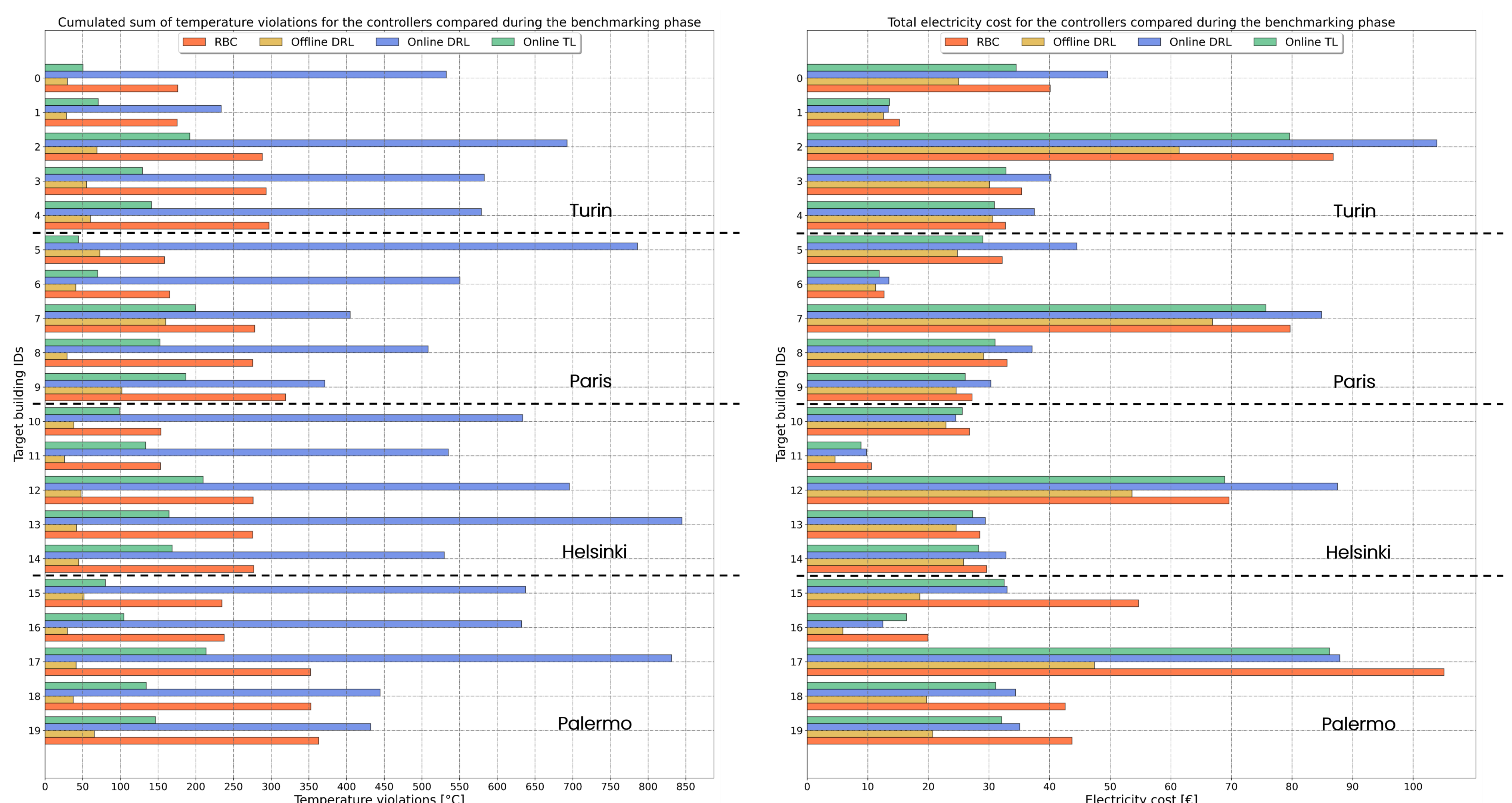


Target buildings configuration

Building	Weather conditions	Price Schedule	Occupancy schedule	Envelope efficiency
Source	0	0	0	0
T ₁₀₀₀	0	1	0	0
T ₀₀₁₀	0	0	0	0
T ₀₁₁₀	0	1	0	0
T ₀₁₁₄	0	1	0	0
T ₁₀₀₀	1	0	0	0
T ₁₀₀₀	1	1	0	0
T ₀₀₁₀	1	0	0	0
T ₁₁₀₀	1	1	0	0
T ₁₁₁₁	1	1	0	0
T ₂₀₀₀	2	0	0	1
T ₂₁₀₀	2	1	0	0
T ₂₁₁₀	2	1	0	0
T ₂₁₁₂	2	1	0	2
T ₃₀₀₀	3	0	0	0
T ₃₁₀₀	3	1	0	0
T ₃₀₁₀	3	0	0	0
T ₃₁₁₀	3	1	0	0
T ₃₁₁₃	3	1	0	3

LEGEND
WEATHER CONDITIONS
• 0 → Turin
• 1 → Palermo
• 2 → Paris
• 3 → Helsinki
PRICE SCHEDULE
• 0 → TOU
• 1 → On/off peak
OCCUPANCY SCHEDULE
• 0 → Mon-Fri 8:00 - 18:00
• 1 → Mon-Sun 7:00 - 19:00
ENVELOPE EFFICIENCY
• 0 → Source building
• 1 → Guidelines for Palermo
• 2 → Guidelines for Paris
• 3 → Guidelines for Helsinki
• 4 → Guidelines for Turin

Performance benchmark for Online TL with RBC, Offline DRL and Online DRL



Energetics PhD
XXXVII Cycle

PhD Day
Energy Center Auditorium
December 20th 2023



PhD student:
Davide Coraci

Tutors:
Prof. Alfonso Capozzoli
Dr. Tianzhen Hong

Publications

Coraci, D.; Brandi, S.; Capozzoli, A. *Effective pre-training of a deep reinforcement learning agent by means of long short-term memory models for thermal energy management in buildings*. *Energy Conversion and Management* (2023), 291, 117303. <https://doi.org/10.1016/j.enconman.2023.117303>
Coraci, D.; Brandi, S.; Hong, T.; Capozzoli, A. *Online transfer learning strategy for enhancing the scalability and deployment of deep reinforcement learning control in smart buildings*. *Applied Energy* (2022), 333, 120598. <https://doi.org/10.1016/j.apenergy.2022.120598>
Coraci, D.; Brandi, S.; Hong, T.; Capozzoli, A. *An innovative heterogeneous transfer learning framework to enhance the scalability of deep reinforcement learning controllers in buildings with integrated energy systems* (2023). Submitted to Building Simulation journal on 21st November 2023



External
Collaborations:



ETH zürich